



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/826,620	04/16/2004	Tushar Saxena	03-4025

32127  
 VERIZON CORPORATE SERVICES GROUP INC.  
 C/O CHRISTIAN R. ANDERSEN  
 600 HIDDEN RIDGE DRIVE  
 MAILCODE HQEO3H14  
 IRVING, TX 75038



CONFIRMATION NO. 1310  
 FORMALITIES LETTER



\*OC000000013075501\*

Date Mailed: 06/25/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

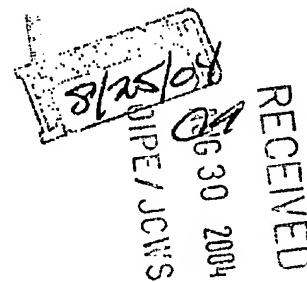
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450



*A copy of this notice **MUST** be returned with the reply.*

08/30/2004 CCHAU1 00000016 072347 10826620

01 FC:1051 130.00 DA



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 07/31/2008. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130

## Complete if Known

Application Number 10/826,620  
Filing Date April 16, 2004  
First Named Inventor Tushar Saxena  
Examiner Name Not yet assigned  
Art Unit 2661  
Attorney Docket No. 03-4025

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None  
Order

☒ Deposit Account:

Deposit Account Number 07-2347, Reference: 03-4025

Deposit Account Name Verizon

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	1001	770		2001	385	Utility filing fee	
	1002	340		2002	170	Design filing fee	
	1003	530		2003	265	Plant filing fee	
	1004	770		2004	385	Reissue filing fee	
	1005	160		2005	80	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims  -20 \*\* =  X  =   
Independent Claims  -3 \*\* =  X  =   
Multiple Dependent  X  =

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	1202	18		2202	9	Claims in excess of 20	
	1201	88		2201	43	Independent claims in excess of 3	
	1203	290		2203	145	Multiple dependent claim, if not paid	
	1204	88		2204	43	** Reissue independent claims over original patent	
	1205	18		2205	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$0)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	1051	130		2051	65	Surcharge - late filing fee or oath	130
	1052	50		2052	25	Surcharge - late provisional filing fee or cover sheet	
	1053	130		2053	130	Non-English specification	
	1812	2,520		2812	2,520	For filing a request for reexamination	
	1804	820*		2804	820*	Requesting publication of SIR prior to Examiner action	
	1805	1,840*		2805	1,840*	Requesting publication of SIR after Examiner action	
	1251	110		2251	55	Extension for reply within first month	
	1252	420		2252	210	Extension for reply within second month	
	1253	950		2253	475	Extension for reply within third month	
	1254	1,480		2254	740	Extension for reply within fourth month	
	1255	2,010		2255	1,005	Extension for reply within fifth month	
	1401	330		2401	165	Notice of Appeal	
	1402	330		2402	165	Filing a brief in support of an appeal	
	1403	290		2403	145	Request for oral hearing	
	1451	1,510		2451	1,510	Petition to Institute a public use proceeding	
	1452	110		2452	55	Petition to revive - unavoidable	
	1453	1,330		2453	665	Petition to revive - unintentional	
	1501	1,330		2501	665	Utility issue fee (or reissue)	
	1502	480		2502	240	Design issue fee	
	1503	640		2503	320	Plant issue fee	
	1460	130		2460	130	Petitions to the Commissioner	
	1807	50		2807	50	Processing fee under 37 CFR 1.17 (q)	
	1806	180		2806	180	Submission of Information Disclosure Sheet	
	8021	40		28021	40	Recording each patent assignment per property (times number of properties)	
	1808	770		2808	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
	1810	770		2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
	1801	770		2801	385	Request for Continued Examination (RCE)	
	1802	900		2802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$130)

## SUBMITTED BY

Name (Print/Type)

Joel Wall

Registration No. (Attorney/Agent)

25,648

Telephone

(972) 718-4800

Signature

Date

August 25, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

AUG 25 2004

**Intellectual Property Law Group****Verizon Corporate Services Group Inc**

600 Hidden Ridge Drive  
Irving, Texas 75038  
MailCode HQE03H14  
Phone: 972/718-4800  
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E mail:  
christian.andersen@verizon.com

To: U.S. Patent and Trademark Office – From: Christian R. Andersen  
MAIL STOP MISSING PARTS Sr. Paralegal – Intellectual Property  
Examiner: Unassigned  
Group Art Unit: 26661

Fax: 703-872-9306 Pages  
with 9  
Cover:


**FORMAL SUBMISSION OF:**

- |  |  |
|--|--|
| 1) Response to Notice to File Missing Parts; | 4) Assignment Recordation Cover Sheet; and                             |
| 2) Declaration and Power of Attorney;        | 5) Copy of Notice to File Missing Party of Nonprovisional Application. |
| 3) Assignment;                               |  |

Title: METHODS AND SYSTEMS FOR REDUCING THE SPREAD OF FILES ON A NETWORK  
Serial No. 10/826,620  
Filing Date: April 16, 2004  
First Named Inventor: Tushar SAXENA  
Atty. No. 03-4025

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that the above-referenced documents are facsimile transmitted to the Patent and Trademark Office on the date shown below:

  
Christian R. Andersen

Date of Transmission: August 25, 2004

#1742 v1

RECEIVED  
AUG 30 2004  
OPE/JUC/VS

AUG 25 2004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Tushar Saxena

Serial No: 10/826,620

Filed: April 16, 2004

For: Methods and Systems for  
Reducing the Spread of Files on a  
Network

Art Unit: 2661

Attorney Document No. 03-4025

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

OIPE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Enclosed are the following: (1) copy of the Notice to File Missing Parts; (2) Executed Declaration and Power of Attorney for Patent Application; (3) Fee Transmittal Form; and (4) a FAX transmittal sheet.

Applicant's attorney hereby authorizes the Office to charge any additional fees which may be required to Deposit Account No. 07-2347.

Respectfully Submitted,

Date: August 25, 2004

**Customer No: 32127**  
Verizon Corporate Services Group, Inc.  
600 Hidden Ridge Drive  
Mail Code: HQE03H14  
Irving, TX 75038  
(972) 718-4800

Joel Wall  
Reg. No. 25,648



Page 2 of 2

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Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY